



PATENT
ATTORNEY DOCKET NO.: NG(MS)7220

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Kenneth W. Aull

Confirmation No.: 5283

Application No.: 09/815,770

Examiner: David Yiuk Jung

Filing Date: 23 March 2001

Group Art Unit: 2134

Title: System and Method for Third Party Recovery of Encryption Certificates in a Public Key Infrastructure

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:

Transmitted herewith is/are the following in the above-identified application:

<input checked="" type="checkbox"/> Response/Amendment	<input type="checkbox"/> Petition to extend time to respond
<input type="checkbox"/> New fee as calculated below	<input type="checkbox"/> Supplemental Declaration
<input checked="" type="checkbox"/> No additional fee	
<input type="checkbox"/> Other: _____ (fee \$ _____)	

CLAIMS AS AMENDED BY OTHER THAN A SMALL ENTITY

(1)	(2)	(3)	(4)	(5)	(6)	(7)
FOR	CLAIMS REMAINING AFTER AMENDMENT	NUMBER EXTRA	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	20	MINUS	20	0	\$18	\$0.00
INDEP. CLAIMS	2	MINUS	3	0	\$86	\$0.00
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM					\$290.00	
EXTENSION FEE	1ST MONTH \$110.00	<input type="checkbox"/>	2ND MONTH \$420.00	<input type="checkbox"/>	3RD MONTH \$950.00	<input type="checkbox"/> \$1,480.00 <input type="checkbox"/>
OTHER FEES						
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$0.00

Charge \$_____ to Deposit account 20-0090. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 20-0090 pursuant to 36 CFR 1.25. Additionally, please charge any fees to Deposit Account 20-0090 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

CERTIFICATE OF MAILING (37 C.F.R. 1.8A)

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date: September 29, 2004

Lisa L. Pringle

Lisa L. Pringle
Signature

Respectfully submitted,

By *Christopher P. Harris*

Christopher P. Harris

Attorney/Agent for Applicant(s)

Reg. No.: 43,660

Date: September 29, 2004

Telephone: (216)621-2234



CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8A)

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Signature

Lisa L. Pringle

(type or print name of person certifying)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)	
)	
Kenneth W. Aull)	Group Art Unit: 2134
)	
Serial No.: 09/815,770)	
)	
Filed: 23 March 2001)	Examiner: David Yiuk Jung
)	

For: *System and Method for Third Party Recovery of Encryption Certificates in a Public Key Infrastructure*

AMENDMENT AND RESPONSE TO OFFICE ACTION

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated August 24, 2004, issued in connection with the above-identified application, please enter and consider the following amendments and remarks.

Amendments to the claims are reflected in the listing of the claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 8 of this paper.